

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Colin C. Davis

Serial No.: Unassigned

Examiner: Unassigned

Filing Date: Herewith

Group Art Unit:

Title: Thermal Generation Of Droplets For Aerosol

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
() Statement under 37 CFR 1.97(e), or
() a \$240.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:
() Statement under 37 CFR 1.97(e), and
() a petition under 37 CFR 1.97(d)(2), and
() a \$130.00 petition fee set forth in 37 CFR 1.17(i).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EL604902510US

Date of Deposit Jan 16 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By Timothy F. Myers
Typed Name: Timothy F. Myers

Respectfully submitted,

Colin C. Davis

By Timothy F. Myers

Timothy F. Myers

Attorney/Agent for Applicant(s)

Reg. No. 42,919

Date: Jan 16 2001

PATENT APPLICATION

Sheet 1 of 1

FORM PTO-1449

ATTY. DOCKET NO.

10003590

SERIAL NO.

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LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

APPLICANT

Davis, C.

FILING DATE

Herewith

GROUP

Unassigned

(Use several sheets if necessary)

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	6,126,276	Oct 3, 2000	Davis	
	1B	6,123,070	Sep 26, 2000	Bruna	
	1C	6,113,221	Sep 5, 2000	Weber	
	1D	6,099,108	Aug 8, 2000	Weber	
	1E	6,084,609	Jul 4, 2000	Manini	
	1F	6,082,854	Jul 4, 2000	Axtell	
	1G	6,000,787	Dec 14, 1999	Weber	
	1H	5,894,841	Apr 20, 1999	Voges	
	1I	5,608,436	Mar 4, 1997	Baughman	
	1J	5,305,015	Apr 19, 1994	Schantz	
	1K				

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION YES NO
	1L	0 940 257 A2	Sep 8, 1999	Kawamura		
	1M	WO 00/50111	Aug 31, 2000	Voges		
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q			
	1R			
	1S			

EXAMINER

DATE CONSIDERED